

Government of the District of Columbia  
Child and Family Services Agency



**REQUEST FOR APPLICATIONS (RFA)**  
**#DCRL-2013-U-0114**

**Fiscal Year 2014**  
**PARENT EDUCATION AND SUPPORT PROJECT**

The Child and Family Services Agency, Contracts and Procurement Administration invites the submission of applications for funding through the District of Columbia.

**Late Applications Will Not Be Forwarded to the Review Panel.**

**DEADLINES AND IMPORTANT DATES**

<b><u>Announcement Date:</u></b>	<b>Monday, July 29, 2013</b>
<b><u>Request for Applications Release Date:</u></b>	<b>Tuesday, July 30, 2013</b>
<b><u>Written Question and Answer Deadline:</u></b>	<b>Tuesday, August 13, 2013</b>
<b><u>Answers to Questions Available At:</u></b>	<b><a href="http://opgs.dc.gov">http://opgs.dc.gov</a></b>
<b><u>Application Submission Deadline:</u></b>	<b>Wednesday, August 28, 2013; 2pm</b>

**LATE APPLICATIONS WILL NOT BE FORWARDED TO THE REVIEW PANEL**

Pursuant to the *Child and Family Services Grant-Making Amendment Act of 2008*, D.C. Law 17-199, effective July 18, 2008 (D.C. Official Code § 4-1303.03(a-1)), the District of Columbia Child and Family Services Agency (“CFSA”) invites qualified applicants to submit applications for grant funding to develop and implement parent education and support programs in an effort to expand the current range of child abuse and neglect prevention resources in the District of Columbia.

As the District’s population continues to diversify, there is a corresponding need to expand services to accommodate the varying needs of our community members. Parent Education or Support programs, for example, need adjustment or expansion to meet the needs of more culturally diverse families. The Parent Education and Support Project (PESP) will be comprised of a network of community-based organizations who are committed to engaging District of Columbia parents to help them acquire and internalize those skills that are necessary to build and maintain a healthy family. Effective parent models and family-centered interventions will promote increased protective factors and lead to a reduced incidence of child abuse and neglect.

The Parent Education and Support Program supports evidence-based models that are strength-based, family-centered and that combine both individual and group approaches. Capacity building grants awarded under the Parent Education and Support Project will fund time-limited services that are provided at no cost to parents or program participants.

Up to five (5) grants will be awarded for a period of one year. Grants will range from \$50,000 to \$125,000 per award. Anticipated total funding for the project will be up to \$625,000 in the first year. Applicants must demonstrate a capacity to have the project fully functioning within forty-five (45) days following notification of the grant award.

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## **SECTION I: GENERAL INFORMATION**

The Child and Family Services Agency (CFSA) has funds available to develop and implement the Parent Education and Support Project (PESP). CFSA will award up to five (5) grants. This initiative shall support the expansion of the District's current array of prevention resources supporting evidence-based models of parent education and support that address age-, developmentally- and culturally-specific issues for caregivers. Annually, CFSA anticipates a minimum of 400 families to be served under the Parent Education and Support Project.

The goal of the program is to prevent the entry and reduce re-entry of families into CFSA through provision of specialized parent education and support models that promote protective factors within children and families that can reduce risk, build family capacity, and foster resilience. These factors lead to improved outcomes for children and parents, and a reduction in the incidence of child abuse and neglect.

Services provided under the grant are designed for District of Columbia parents who may be at risk of involvement with CFSA or who are currently receiving services from CFSA. Applicants shall specify whether they are proposing a primary, secondary, or tertiary program, and whether the target population will include court-ordered participants. Applicants may propose a model that is part of a larger existing program within their organization. Successful applicants must be ready to accept referrals and begin providing services within forty-five (45) days of notification of grant award.

CFSA will fund evidence-based models with a focus on special populations that allow parents immediate access to concrete resources that can strengthen the family, facilitate collaborative partnerships, and minimize the risk of child maltreatment. Preference will be given to those models that allow for long-term engagement, continued support for families after services have ended, as well as a strong evaluation component. During the period of funding, Grantees shall seek out additional resources to ensure continuity of programs beyond the 5 years awarded.

### **Background and Need**

In the District of Columbia, the Child and Family Services Agency (CFSA) is the public child welfare agency (Title IV-E and IV-B) with the legal authority and responsibility to protect child victims, and those at risk, of abuse and neglect. Like public child welfare agencies across the nation, CFSA protects children through four core functions: taking and investigating reports of child abuse/neglect; working with and strengthening families of child victims and those at risk; providing safe, temporary settings for children who cannot be safe in their homes; and ensuring children leave foster care for permanent homes. Among the factors that distinguish District child welfare are:

- Both state and local functions within CFSA.
- Partial privatization, with private agencies under contract managing about half of the total caseload.
- Continuing court oversight as a result of the *LaShawn* lawsuit filed in 1989 (see page 32).
- Local statutes that allow youth to remain in the system to age 21, if necessary.

- A large proportion of older youth in foster care, with more than half (56%) of the current population age 12 or older.
- Challenges associated with one of the highest percentages of children living in poverty—approximately 30% compared to 22% nationally.<sup>1</sup>

While the District has been moving ahead with child welfare reform for more than a decade, recent events have dramatically accelerated progress. Under new leadership in 2012, CFSA and the local child-serving community developed and rallied around a strategic agenda known as the Four Pillars. It is a bold offensive and strategically-focused effort to improve outcomes for children, youth, and families involved with District child welfare. Each pillar represents an area ripe for improvement and features a values-based foundation, a set of evidence-based strategies, and a series of specific outcome targets.

- **Narrow the Front Door:** Children have the opportunity to grow up with their families and are removed from their families only when necessary to keep them safe. CFSA's priority is to reach out, locate, and engage relatives as resources for children and families who come to CFSA's attention. At the same time, CFSA is invested in the expansion of a prevention strategy that provides resources families can access and use in their own communities without having to engage the child welfare system for help.
- **Temporary Safe Haven:** Foster care is a temporary safe haven, with planning for permanence beginning the day a child enters care. CFSA seeks relative placements first, followed by the most appropriate and home-like setting to keep children connected to their schools and communities. CFSA promotes and preserves maternal and paternal relationships and sibling connections through frequent, quality visits. Permanence is best achieved through a legal relationship such as reunification, guardianship, or adoption.
- **Well-Being:** Every child is entitled to a nurturing environment that supports healthy growth and development, good physical and mental health, and academic achievement. Most importantly, CFSA recognizes the impact of trauma on well-being and the role of the Agency and its partners in responding to children and families, many of whom have experienced significant trauma prior to becoming involved with child welfare. Several District agencies are aligning their priorities and resources to establish a seamless system of care to meet the mental health needs of children and families.
- **Exit to Positive Permanence:** Every child and youth exits foster care as quickly as possible for a safe, well-supported family environment or life-long connection. Older youth exit care with a minimum of a lifelong connection, and the education and skills necessary to help them become successful, self-supporting adults. CFSA also offers community-based aftercare services to youth who have aged out of care.

CFSA is charged with promoting the safety, permanence and well-being of the District of Columbia's abused or neglected children and their families. We meet our mission by employing

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<sup>1</sup> Child Welfare League of America (2012), State Fact Sheets  
<http://www.cwla.org/advocacy/statefactsheets/statefactsheets12.htm>

high quality social workers that provide assessment, case management and other support services, as well as contracting with a network of private providers and community-based services to provide placement, case management and other supports. Over the last several years, CFSA has substantially improved its performance in all areas. We have increased our focus on permanence for children. We have institutionalized national best practices in areas of Family Team Meetings and Structured Decision Making, and became the first state with a nationally certified State Automated Child Welfare Information Systems (SACWIS) to make the system fully accessible via the internet. Yet, there is still much more to be done for the District's most vulnerable children and their families.

## **SECTION II: AWARD INFORMATION**

### **Source of Grant Funding**

The funds currently made available are appropriated by the Council of the District of Columbia including the federal Community-Based Child Abuse and Prevention program (CBCAP) through CFSA.

### **Amount of Award**

The total amount available for funding shall not exceed \$625,000 in the first year (Fiscal Year 2014). CFSA intends to award up to five (5) grants for the Parent Education and Support Project. CFSA reserves the right to assign the amount of individual awards. Individual grant awards shall not be less than \$50,000 or exceed \$125,000.

CFSA fully expects each Applicant to detail within their budget the breakdown and usage of all available funds.

### **Start Dates and Periods**

The grant period is for up to one (1) year from the date the grant agreement is executed, subject to the appropriation of funds. Successful Applicant(s) must be prepared to sign the Grant Agreement within forty-five (45) days of award. At the end of the first year, the District may extend the term of this Grant Agreement for a period of four additional one-year option periods, or successive fractions thereof by written notice to the Grantee, should further appropriations be made available or funds from other sources be made available. The notice does not commit the District to an extension. The exercise of the option is subject to the availability of funds at the time of the exercise of the option. The District reserves the right to issue a new grant announcement.

### **Explanations to Prospective Applicants**

Inquiries regarding this RFA should be sent via email to [Shamika.Place@dc.gov](mailto:Shamika.Place@dc.gov), Contracts Supervisor with the CFSA Contracts and Procurement Administration. Please reference **DCRL-2013-U-0114** in the subject line. Inquiries must be submitted on or before **Tuesday, August 13, 2013. Questions submitted after the deadline will not receive responses.** Responses to all inquiries will be posted on the OPGS website (<http://www.opgs.dc.gov>) on or before **Monday, August 19, 2013.**

At any time, the Agency may suspend or terminate this RFA. CFSA reserves the right to issue addenda and/or amendments subsequent to the issuance of the RFA, or to rescind the RFA. Prospective Applicants are solely responsible for checking the OPGS website (<http://www.opgs.dc.gov>) for any additional changes or updates to the RFA and/or the application process.

The Agency shall not be liable for any costs incurred in the preparation of applications in response to the RFA. Applicant agrees that all costs incurred in developing the application are the Applicant's sole responsibility.

### **SECTION III: APPLICATION AND SUBMISSION INFORMATION**

#### **Application Forms and Content Format**

##### **Applicant Checklist**

- The Applicant organization/entity has responded to all sections of the Request for Application (RFA).
- The Applicant Profile, found in Attachment A, contains all the information requested and is affixed to the front of the application.
- **The conflict of interest policy:** A conflict of interest arises when a person in a position of authority over an organization, such as a director, officer, or manager, may benefit personally from a decision he or she could make. A conflict of interest policy consists of a set of procedures to follow to avoid the possibility that those in positions of authority over an organization may receive an appropriate benefit. (IRS 1023 form) this document shall be agreed upon and signed by all board members.
- The Program Budget is complete and complies with the Budget form in Attachment D of the RFA. The budget narrative is complete and describes the category of items proposed. It should project income and expenses for the current fiscal year.
- **Separation of duties policy:** This statement should indicate how the organization separates financial transactions/duties between people within the organization for the purposes of preventing fraud and or waste. This policy should reflect the process of how major financial processes are handled such as assets handling, book keeping, and transaction comparison or review.
- **Balance Sheet:** the balance sheet can be obtained directly from the organization's financial system. This document is a snapshot of the organization's position at a point in time. The balance sheet includes assets, liabilities and net assets/equity. This financial statement will help the granting agency determine your organization's financial capabilities.
- **Tax Exemption Letter (if applicable):** Provide a copy of your organization's tax status.
- The application is printed on 8 ½ by 11-inch paper, double-spaced, on one side (no single spacing). **Applications that do not conform to this requirement will not be forwarded to the review panel.**
- The Application Summary section is complete and is within the page limit for this section of the RFA submission.
- The Organizational Experience and Qualifications of Applicant are complete and within

- the 2-page limit for this section of the RFA submission.
- The Project Narrative section is complete and is within the 10-page limit for this section of the RFA submission.
  - **The Applicant is submitting the required four (4) copies of its application, including the original and three (3) copies. Applications will not be forwarded to the review panel if the Applicant fails to submit the required four (4) copies with one "original".**
  - The application conforms to the "Application Format" of the RFA. **The review panel will not review applications that do not conform to the application format.**
  - The appropriate appendices are included. These must include EEO, Tax Cert. Affidavit (OTR / DOES); and can include program descriptions, staff qualifications, individual resumes, licenses (if applicable), and other supporting documentation.
  - The application is submitted to Child and Family Services Agency, Contracts and Procurement Administration, no later than 2:00p.m., on the deadline date of **Wednesday, August 28, 2013**
  - The application is submitted with **two original receipts**, found in Attachment B, attached to the outside of the envelope or package for CFSA approval upon receipt.

All grantees shall comply with the District of Columbia's drug-free workplace certification requirement (29 DCMR § 8207). By submission of its application, the Applicant is certifying and agreeing to comply with 29 DCMR § 8207. Failure to comply with the requirements may render a grantee subject to suspension of grant payments, termination of the grant or other available legal remedies.

Applicants are required to follow the format below and each proposal must contain the following information:

- Applicant Profile (See Attachment A)
- Table of Contents (Not counted in page total)
- Application Summary (Not to exceed 2 pages)
- Original Receipt (Attachment B)
- Staffing Plan (Not counted in page total, See Attachment D)
- Program Budget & Budget Narrative (Not counted in page total, See Attachment E)
- Brief Program Overview
- Project Narrative (Not to exceed 10 pages)
- Evaluation Plan
- Collaboration
- Sustainability Plan
- Certifications, Licenses, and Assurances (Must be notarized)
- Organization, Experience and Qualifications of Applicant (Not to exceed 2 pages)
- Appendices (Resumes, Organization Chart, Position Descriptions) (Not counted in page total)
- Acknowledgement of District and Federal Statutes (Signature)
- Attachments

### **Description of Application Sections**

The purpose and content of each section is described below. Applicants should include all

information needed to adequately describe their objectives and plans for services. It is important that applications reflect continuity among the goals and objectives, program design, work plan of activities, and that the budget demonstrates the level of effort required for the proposed services.

### **Applicant Profile**

Each application must include an Applicant Profile, which identifies the applicant, type of organization, project service area and the amount of grant funds requested. **See Attachment A.**

### **Table of Contents**

The Table of Contents should list major sections of the application with quick-reference page indexing.

### **Application Summary**

This section of the application should be brief and serve as the cornerstone of the application. The application summary should highlight the major aspects of the objectives that are discussed in depth in other sections of the application.

### **Project Narrative**

This section of the application should contain the narrative that justifies and describes the project to be implemented. The project narrative should include the following:

1. Target population to be served (including ages and location);
2. Understanding needs of target population(s) to be served;
3. Program objectives for the RFA;
4. Specific service/programs to be provided;
5. Identification of evidence-based approaches or promising practices;
6. Work plan for activities (specify the sequential steps that you plan to initiate);
7. Number of clients to be served over grant period;
8. Understanding of demographics of population being served;
9. Demonstrate your organization's capacity and past experience to implement the proposed project.
10. Extent to which access barriers for the target population will be addressed;
11. How funds will be distributed consistent with the grant and monetary expenditures; and
12. Quality assurance mechanisms.

### **Organization, Experience and Qualifications of Applicant**

The Applicant must provide detailed information on the qualifications and experience of the project staff to demonstrate the organization's capability to provide the services described in the RFA. The Applicant must list the key personnel who will be assigned to the proposed project and state the percentage of time each will devote to the project in total.

### **Program Budget and Budget Narrative**

Standard budget forms are provided in Attachment D. The budget for this application shall contain detailed, itemized cost information that shows personnel and other direct and indirect costs. The detailed budget narrative shall contain a justification for each category listed in the budget. The narrative should clearly state how the applicant arrived at the budget figures.

### **Appendices**

This section shall be used to provide technical material, supporting documentation and endorsements, and must include copies of Applicant's:

- ❖ Equal Employment Opportunity
- ❖ Position Description & Specifications
- ❖ Tax Certification Affidavit (OTR/DOES)

Such items may also include:

- ❖ Audited financial statement
- ❖ Indication of nonprofit corporation status
- ❖ Roster of the Board of Directors
- ❖ Proposed organizational chart for the project
- ❖ Organizational budget (as opposed to project budget)
- ❖ Letters of support or endorsements
- ❖ Staff resumes; and
- ❖ Planned job descriptions.

### **Request for Application Provisions**

- ❖ Funding for this award is contingent on continued funding from the grantor. The RFA does not commit the Agency to make an award.
- ❖ The Agency reserves the right to accept or deny any or all applications if the Agency determines it is in the best interest of the Agency to do so. The Agency shall notify the applicant if it rejects that applicant's proposal. The Agency may suspend or terminate an outstanding RFA pursuant to its own grant making rule(s) or any applicable federal regulation or requirement.
- ❖ The Agency reserves the right to issue addenda and/or amendments subsequent to the issuance of the RFA, or to rescind the RFA.
- ❖ The Agency shall not be liable for any costs incurred in the preparation of applications in response to the RFA. Applicant agrees that all costs incurred in developing the application are the applicant's sole responsibility.
- ❖ The Agency may conduct pre-award on-site visits to verify information submitted in the application and to determine if the applicant's facilities are appropriate for the services intended.
- ❖ The Agency may enter into negotiations with an applicant and adopt a firm funding amount or other revision of the applicant's proposal that may result from negotiations.

- ❖ The Agency shall provide the citations to the statute and implementing regulations that authorize the grant or subgrant; all applicable federal and District regulations, such as OMB Circulars A-102, A-133, 2 CFR 225, 2 CFR 215; payment provisions identifying how the grantee will be paid for performing under the award; reporting requirements, including programmatic, financial and any special reports required by the granting Agency; and compliance conditions that must be met by the grantee.
- ❖ If there are any conflicts between the terms and conditions of the RFA and any applicable federal or local law or regulation, or any ambiguity related thereto, then the provisions of the applicable law or regulation shall prevail and it shall be the responsibility of the applicant to ensure compliance.

### **Submission Dates and Location**

#### **Application Identification**

A total of four (4) copies, including the original and three (3) copies, of the application are to be submitted in a sealed envelope or package. Attachment B, found in this package, should be affixed to the outside of the envelope or package. **Of the four (4) copies, one (1) copy must be an original. Applications will not be forwarded to the review panel if the Applicant fails to submit the required four (4) copies with Attachment B affixed to the outside of the envelope or package. E-mail, telephonic, telegraphic or facsimile submissions will not be accepted.**

#### **Application Submission Date and Time**

Applications are due no later than **2:00 p.m., on Wednesday, August 28, 2013.** All applications will be recorded upon receipt. **Applications submitted at or after 2:01 p.m., on Wednesday, August 28, 2013, will not be forwarded to the review panel for funding consideration.** Any additions and/or deletions to an application will not be accepted after the 2:00 p.m. deadline on **Wednesday, August 27, 2013.** Applications must be ready for receipt by CFSA. The four (4) copies, including the original plus three (3) copies, of the applications **must be delivered to the following location:**

Contracts and Procurement Administration  
Child and Family Services Agency  
200 I Street SE, 2<sup>nd</sup> Floor  
Washington, DC 20003

#### **Mail Courier/Messenger Delivery**

Applications that are mailed or delivered by Messenger/Courier services **must be sent in sufficient time to be received by the 2:00 p.m., deadline on Wednesday, August 28, 2013** at the above location. Applications arriving via messenger/courier services after the posted deadline of **2:00 p.m., on Wednesday, August 27, 2013 will not be forwarded to the review panel by CFSA.**

**CFSA will not be responsible for delays in the delivery of application packages to its office.**

## **SECTION V: PROGRAM AND ADMINISTRATIVE REQUIREMENTS**

### **Use of Funds**

Grant funds shall only be used to support activities as outlined in this RFA, and may not be used for direct financial assistance to clients and their families. Funds shall support specific program-related activities for up to twelve (12) months per budget period, including costs associated with:

- Purchase of parent education/training curriculum and materials
- Staff training and certification in model being proposed
- Purchase of training-related equipment (e.g. laptops, video cameras, projectors)
- Staff who will be administering the program (specify percentage of time dedicated to program activities)
- Provision of child care during parent participation in program activities
- Activities to engage children during parent participation in program activities
- Stipends for program participants
- Development of on-going support group for parents post-services
- Evaluation of program activities for up to 10% of the project budget

Indirect costs shall not exceed 10% of the overall budget.

### **Grant Agreement**

Applicant shall be required to sign a Grant Agreement prior to funds being transferred. CFSA reserves the right to require modifications to the program budget prior to award.

## **SECTION III: ELIGIBILITY INFORMATION**

### **Eligible Applicants**

#### **Governmental organizations are not eligible to apply for funding.**

Applications will be accepted only from non-profit, community-based organizations which have demonstrated abilities to meet the needs identified in this RFA, and who are able to commit to implementing the program measures over the grant period.

Organizations may partner together to offer separate but coordinated components of the program (for example, in looking to develop a mothers group and a fathers group, or a daytime group and evening group) but must identify a lead applicant. The lead applicant will be responsible for identifying how the components shall be integrated, including overall program funding sources.

Successful applicants shall demonstrate experience with the target population, including parent education or training designed to strengthen and support families and communities, as well as an understanding of child abuse and neglect prevention. Successful applicants shall also provide services in accordance with all existing federal and District of Columbia laws, rules, and regulations, and consistent with policies, procedures and standards promulgated by the Child and Family Services Agency.

### **Target Recipient Audience**

Applicants shall identify the target population to be served by their proposed program. This may include, but is not limited to:

- Parents with disabilities and/or parents with children with disabilities
- Teen Parents
- (Custodial and Non-Custodial) Fathers of children ages 0-5, school-age and high school
- Grandparent Caregivers
- Parents of children who are medically fragile and/or developmentally delayed
- Parents of children with behavioral concerns
- Parents of young children (ages 0-8 years)
- Parents of youth (9-14yrs; 14-17yrs; 17-21yrs)
- Parents for whom English is not the first language (e.g. Spanish-speaking families)
- Parents with a history of child abuse or neglect

Applicants may also propose a target group not listed above. Applicants shall demonstrate that the target population is under-served by existing parent education and support models, and that the proposed intervention will meet an identified need for services. Applicants may propose an approach that is one component of a larger program. Applicants will be responsible for demonstrating how the proposed approach will be integrated into the larger program.

Services may be limited to a particular geographic area (neighborhood or community) or be offered District-wide. The proposed program shall be accessible and flexible to accommodate the needs of participants, including work schedules, child care arrangements and transportation. All individuals to be served under the Parent Education and Support Project are residents of the District of Columbia. CFSA is targeting a minimum of 400 families to be served across the District under the Parent Education and Support Project.

The target population may need support services in the following categories:

- a) Mental health services
- b) Alcohol and substance abuse treatment services
- c) Behavioral and social skills development
- d) Educational/vocational support services
- e) Individual, group and family counseling
- f) Therapeutic recreation
- g) Housing assistance

If the PESP identifies the need for any of the above or other services, the Grantee shall advocate on behalf of the parent to secure services.

### **Need for Funding**

Mirroring a national trend, the District's foster care population had been in steady decline for many years. However, the rate of decline accelerated in Fiscal Year (FY) 2012, dropping by 15%. Just a short time ago in 2011, the number of children served at home versus in foster care was still about equal (50-50). By the end of 2012, the gap was widening, with children served in-home versus out-of-home shifting to 55% versus 45%. As of June 30, 2013 CFSA was serving

1367 children in foster care and 1702 children in their homes. Our approach to service delivery is to strengthen those services and operations that are already in place, to identify additional service and resource requirements, and to develop and implement strategies to better meet the needs of the children and families who enter into, or who are at risk of entering or re-entering the District's child welfare system.

Beginning in 2007, the District allocated dedicated funding for CFSA to expand child maltreatment prevention efforts. Through a grant-making process, CFSA sought to expand the current array of child abuse and neglect prevention and intervention resources and to develop a network of community-based providers committed to meeting the needs of District children and families. The primary goal of the grant-funded programs has been to prevent entry and reduce re-entry into the child welfare system through evidence-based services that promote protective factors, reduce risk, build family capacity, and foster resilience. Many families who come to the attention of CFSA need assistance with parenting and related social supports. Referrals to the programs under the Parent Education and Support Project may include referrals from CFSA, self-referrals, court-ordered referrals or referrals initiated by community-based organizations.

Services under the Parent Education and Support Project are voluntary and provided at no cost to the participants. Individuals may receive a small stipend for participating in the program but funds may not be used as direct financial assistance for clients. Applicants shall propose a strategy to identify and engage participants in services.

### **Cost Sharing or Match**

Successful Applicants will initially be funded for 12-month budget periods, pending availability of continued funding. Applicants should note the following: initial award levels will decline and non-federal share match levels will increase from 25% to 50% in the third through fifth years of the five year grant period. No more than 50% of the non-federal share may be in-kind. Grant funds should not supplant other State or local funds currently dedicated to these services. Further, applicants should present a vision for sustaining the proposed project after the period of CFSA funding has ended.

### **Other**

Applicants who hold current Grant Agreements or Contract Agreements with CFSA, or whose members hold current Grant Agreements or Contract Agreements with CFSA, are eligible to apply provided the services do not conflict with a current Grant or Contract Agreement. Such applicants must identify potential areas of conflict of interest in the delivery of services to children and families involved with CFSA, and demonstrate how services under this funding announcement shall not conflict with or compromise other existing grant or contractual obligations.

## **SECTION VI: GENERAL PROVISIONS**

### **Confidentiality of Records**

Information concerning CFSA-involved children and families is strictly confidential and shall not be divulged to unauthorized persons (see D.C. Official Code §§ 4-1303.06 and 4-1405). The Grantee must demonstrate an ability to maintain the confidentiality of a client's information and

to report the information specified below to CFSA. Specifically, upon notification of award, the Applicant agrees to and will abide by the following conditions:

- Whoever willfully disclosed, receives, makes use of or knowingly permits the use of confidential information concerning a child or individual in violation of D.C. Official Code §§ 4-1303.06 (applies to all CFSA records) shall be guilty of a misdemeanor and upon conviction thereof shall be fined not more than \$1,000.00 (D.C. Official Code § 4-1303.07).
- All project staff, prior to engaging in work with CFSA, shall sign a confidentiality statement. Prior to service initiation, Grantees shall develop and submit a signed confidentiality statement for each current staff person who will be working under the Agreement.

### **HIPAA Privacy Compliance**

Under the Health Insurance Portability and Accountability Act of 1996, Public Law No. 104-191 (HIPAA), all associated rules and regulations are incorporated by reference herein.

#### Definitions

- a) "Business Associate" shall mean the Grantee.
- b) "CFSA" shall mean the District of Columbia, Child and Family Services Agency.
- c) "Designated Record Set" means:
  - a. A group of records maintained by or for CFSA that is:
    - i. The medical records and billing records about individuals maintained by or for a covered health care provider;
    - ii. The enrollment, payment, claims adjudication, and case or medical management record systems maintained by or for a health plan; or
    - iii. Used, in whole or in part, by or for CFSA to make decisions about individuals.
  - b. For purposes of this paragraph, the term record means any items, collection, or grouping of information that includes Protected Health Information and is maintained, collected, used, or disseminated by or for CFSA.
- d) Individual shall have the same meaning as the term "individual" in 45 CFR 164.501 and shall include a person who qualifies as a personal representative in accordance with 45 CFR 164.502(g).
- e) Privacy Rule. "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR part 160 and part 164, subparts A and E.
- f) Protected Health Information. "Protected Health Information" shall have the same meaning as the term "protected health information" in 45 CFR 164.501, limited to protected health information created or received by the Business Associate from or on behalf of CFSA.
- g) Required By Law. "Required By Law" shall have the same meaning as the term "required by law" in 45 CFR 164.501.
- h) Secretary. "Secretary" shall mean the Secretary of the Department of Health and Human Services or designee.

#### Obligations and Activities of Business Associate

- a) The Business Associate agrees to not use or disclose Protected Health Information other than as permitted or required by this HIPAA Privacy Compliance Clause (this Clause) or as Required by Law.
- b) The Business Associate agrees to use appropriate safeguards to prevent use or disclosure of the Protected Health Information other than as provided for by this Clause.
- c) The Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to the Business Associate of a use or disclosure of Protected Health Information by the Business Associate in violation of the requirements of this Clause.
- d) The Business Associate agrees to report to CFSA any use or disclosure of the Protected Health Information not provided for by this Clause of which it becomes aware.
- e) The Business Associate agrees to ensure that any agent, including a subGrantee, to whom it provides Protected Health Information received from, or created or received by the Business Associate on behalf of CFSA, agrees to the same restrictions and conditions that apply through this Agreement to the Business Associate with respect to such information.
- f) The Business Associate agrees to provide access, at the request of CFSA, and in the time and manner prescribed by the Contracting Officer, to Protected Health Information in a Designated Record Set, to CFSA or, as directed by CFSA, to an Individual in order to meet the requirements under 45 CFR 164.524.
- g) The Business Associate agrees to make any amendment(s) to Protected Health Information in a Designated Record Set that CFSA directs or agrees to pursuant to 45 CFR 164.526 at the request of CFSA or an Individual, and in the time and manner prescribed by the Contracting Officer.
- h) The Business Associate agrees to make internal practices, books, and records, including policies and procedures and Protected Health Information, relating to the use and disclosure of Protected Health Information received from, or created or received by the Business Associate on behalf of, CFSA, available to the CFSA, or to the Secretary, in a time and manner prescribed by the Contracting Officer or designated by the Secretary, for purposes of the Secretary determining CFSA's compliance with the Privacy Rule.
- i) The Business Associate agrees to document such disclosures of Protected Health Information and information related to such disclosures as would be required for CFSA to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR 164.528.
- j) The Business Associate agrees to provide to CFSA or an Individual, in time and manner prescribed by the Grant Monitor, information collected in accordance with Section (i) above, to permit CFSA to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR 164.528.

#### Permitted Uses and Disclosures by Business Associate

- a) Refer to underlying services agreement. Except as otherwise limited in this Clause, the Business Associate may use or disclose Protected Health Information to perform functions, activities, or services for, or on behalf of, CFSA as specified in this contract, provided that such use or disclosure would not violate the Privacy Rule if done by CFSA or the minimum necessary policies and procedures of CFSA.
- b) Except as otherwise limited in this Clause, the Business Associate may use Protected Health Information for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Business Associate.
- c) Except as otherwise limited in this Clause, the Business Associate may disclose Protected Health Information for the proper management and administration of the Business Associate, provided that disclosures are Required By Law, or the Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required By Law or for the purpose for which it was disclosed to the person, and the person notifies the Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.
- d) Except as otherwise limited in this Clause, the Business Associate may use Protected Health Information to provide Data Aggregation services to CFSA as permitted by 42 CFR 164.504(e)(2)(i)(B).
- e) The Business Associate may use Protected Health Information to report violations of law to appropriate Federal and State authorities, consistent with §164.502(j)(1).

#### Obligations of CFSA

- a) CFSA shall notify the Business Associate of any limitation(s) in its notice of privacy practices of CFSA in accordance with 45 CFR 164.520, to the extent that such limitation may affect the Business Associate's use or disclosure of Protected Health Information.
- b) CFSA shall notify the Business Associate of any changes in, or revocation of, permission by Individual to use or disclose Protected Health Information, to the extent that such changes may affect the Business Associate's use or disclosure of Protected Health Information.
- c) CFSA shall notify the Business Associate of any restriction to the use or disclosure of Protected Health Information that CFSA has agreed to in accordance with 45 CFR 164.522, to the extent that such restriction may affect the Business Associate's use or disclosure of Protected Health Information.

#### Permissible Requests by CFSA

CFSA shall not request the Business Associate to use or disclose Protected Health Information in any manner that would not be permissible under the Privacy Rule if done by CFSA.

#### Term and Termination

- a) Term. The requirements of this HIPAA Privacy Compliance Clause shall be effective as of the date of grant execution, and shall terminate when all of the

Protected Health Information provided by CFSA to the Business Associate, or created or received by the Business Associate on behalf of CFSA, is destroyed or returned to CFSA, or, if it is infeasible to return or destroy Protected Health Information, protections are extended to such information, in accordance with the termination provisions in this Section.

- b) Termination for Cause. Upon CFSA's knowledge of a material breach of this Clause by the Business Associate, CFSA shall either:
  - i. Provide an opportunity for the Business Associate to cure the breach or end the violation and terminate the grant if the Business Associate does not cure the breach or end the violation within the time specified by CFSA;
  - ii. Immediately terminate the grant if the Business Associate has breached a material term of this HIPAA Privacy Compliance Clause and cure is not possible; or
  - iii. If neither termination nor cure is feasible, CFSA shall report the violation to the Secretary.

#### Effect of Termination

- a) Except as provided above (see Term and Termination), upon termination of the contract, for any reason, the Business Associate shall return or destroy all Protected Health Information received from CFSA, or created or received by the Business Associate on behalf of CFSA. This provision shall apply to Protected Health Information that is in the possession of subgrantees or agents of the Business Associate. The Business Associate shall retain no copies of the Protected Health Information.
- b) In the event that the Business Associate determines that returning or destroying the protected Health Information is infeasible, the Business Associate shall provide to CFSA notification of the conditions that make return or destruction infeasible. Upon determination by the Grant Monitor that return or destruction of Protected Health Information is infeasible, the Business Associate shall extend the protections of this Agreement to such Protected Health Information and limit further uses and disclosures of such Protected Health Information to those purposes that make the return or destruction infeasible, for so long as the Business Associate maintains such Protected Health Information.

#### Miscellaneous

- a) Regulatory References. A reference in this Clause to a section in the Privacy Rule means the section as in effect or as amended.
- b) Amendment. The Parties agree to take such action as is necessary to amend this Clause from time to time as is necessary for CFSA to comply with the requirements of the Privacy Rule and the Health Insurance Portability and Accountability Act of 1996, Public Law No. 104-191.
- c) Survival. The respective rights and obligations of the Business Associate under the above shall survive termination of the contract.
- d) Interpretation. Any ambiguity in this Clause shall be resolved to permit CFSA to comply with the Privacy Rule.

## Compliance

Compliance with applicable District licensing, tax laws and regulations is a prerequisite for grant award. Upon notification of grant award, the following documents must be submitted prior to execution of the grant agreement: W-9 Form, Basic Business License, Certificate of Good Standing, Certificate of Insurance, Articles of Incorporation or proof of not-for-profit status (e.g. Internal Revenue Service Determination Letter), where applicable.

## Insurance

The Applicant, when requested, must show proof of all insurance coverage required by law and grant agreement at the time of application. The Applicant shall maintain general liability insurance, consistent with District law. The Applicant is responsible for adhering to the guidelines as defined by the District of Columbia Office of Contracts and Procurement. The Parent Education and Support Project activities are classified as “**High Risk Activities**” for insurance purposes.

- A. GENERAL REQUIREMENTS. The Grantee shall procure and maintain, during the entire period of performance under the Grant Agreement, the types of insurance specified below. The Grantee shall have its insurance broker or insurance company submit a Certificate of Insurance to the Contracting Officer giving evidence of the required coverage prior to commencing performance under the Grant Agreement. In no event shall any work be performed until the required Certificates of Insurance signed by an authorized representative of the insurer(s) have been provided to, and accepted by, the Contracting Officer. All insurance shall be written with financially responsible companies authorized to do business in the District of Columbia or in the jurisdiction where the work is to be performed and have an A.M. Best Company rating of A-VIII or higher. The Grantee shall require all of its subgrantees to carry the same insurance required herein. The Grantee shall ensure that all policies provide that the Contracting Officer shall be given thirty (30) days prior written notice in the event the stated limit in the declarations page of the policy is reduced via endorsement or the policy is canceled prior to the expiration date shown on the certificate. The Grantee shall provide the Contracting Officer with ten (10) days prior written notice in the event of non-payment of premium.
1. Commercial General Liability Insurance. The Grantee shall provide evidence satisfactory to the Contracting Officer with respect to the services performed that it carries \$1,000,000 per occurrence limits; \$2,000,000 aggregate; Bodily Injury and Property Damage including, but not limited to: premises-operations; broad form property damage; Products and Completed Operations; Personal and Advertising Injury; contractual liability and independent contractors. The policy coverage shall include the District of Columbia as an additional insured, shall be primary and non-contributory with any other insurance maintained by the District of Columbia, and shall contain a waiver of subrogation.
  2. Automobile Liability Insurance. The Grantee shall provide automobile liability insurance to cover all owned, hired or non-owned motor vehicles used

in conjunction with the performance of this grant. The policy shall provide a \$1,000,000 per occurrence combined single limit for bodily injury and property damage.

3. Workers' Compensation Insurance. The Grantee shall provide Workers' Compensation insurance in accordance with the statutory mandates of the District of Columbia or the jurisdiction in which the grant is performed.

Employer's Liability Insurance. The Grantee shall provide employer's liability insurance as follows: \$500,000 per accident for injury; \$500,000 per employee for disease; and \$500,000 for policy disease limit.

4. Umbrella or Excess Liability Insurance. The Grantee shall provide umbrella or excess liability (which is excess over employer's liability, general liability, and automobile liability) insurance as follows: \$1,000,000 per occurrence, including the District of Columbia as additional insured.
5. Professional Liability Insurance (Errors & Omissions). The Grantee shall provide Professional Liability Insurance (Errors and Omissions) to cover liability resulting from any error or omission in the performance of professional services under this Grant. The policy shall provide limits of \$1,000,000 per occurrence for each wrongful act and \$3,000,000 annual aggregate.

- B. **DURATION.** The Grantee shall carry all required insurance until all grant work is accepted by the District, and shall carry the required General Liability; any required Professional Liability; and any required Employment Practices Liability insurance for five (5) years following final acceptance of the work performed under this grant.
- C. **LIABILITY.** These are the required minimum insurance requirements established by the District of Columbia. **HOWEVER, THE REQUIRED MINIMUM INSURANCE REQUIREMENTS PROVIDED ABOVE, WILL NOT IN ANY WAY LIMIT THE GRANTEE'S LIABILITY UNDER THIS GRANT.**
- D. **GRANTEE'S PROPERTY.** Grantee and subgrantees are solely responsible for any loss or damage to their personal property, including but not limited to tools and equipment, scaffolding and temporary structures, rented machinery, or owned and leased equipment. A waiver of subrogation shall apply in favor of the District of Columbia.
- E. **MEASURE OF PAYMENT.** The District shall not make any separate measure or payment for the cost of insurance and bonds. The Grantee shall include all of the costs of insurance and bonds in the grant price.
- F. **NOTIFICATION.** The Grantee shall immediately provide the Contracting Officer with written notice in the event that its insurance coverage has or will be substantially

changed, canceled or not renewed, and provide an updated certificate of insurance to the Contracting Officer.

- G. **CERTIFICATES OF INSURANCE.** The Grantee shall submit certificates of insurance giving evidence of the required coverage as specified in the Insurance Section prior to commencing work. Evidence of insurance shall be submitted to:

Tara Sigamoni – Agency Chief Contracting Officer  
Child and Family Services Agency  
200 I Street SE, 2<sup>nd</sup> Floor  
Washington, DC 20003

### **Audits**

Each Grantee shall have an annual audit performed by an auditor who is independent from the staff person who authorizes expenditure of project funds. Whenever an audit shows that expenditures not allowable under the grant have been charged to the grant or that the Grantee has otherwise failed to discharge its obligation to account for the expenditure of grant funds, the Grant Officer shall disallow the expenditure of the funds.

At any time, or times within the next twenty-four (24) months, CFSA may request the successful Applicant's expenditure statements, source documentation, and other audited financial records. By submission of their application, Applicants agree to comply with 29 DCMR § 8213.1 which requires grantees to maintain documents for three years from grant close-out.

### **Nondiscrimination in the Delivery of Services**

In accordance with Title VI of the Civil Rights Act of 1964 (Public Law 88-352), as amended, no person shall, on the grounds of race, color, religion, nationality, sex, or political opinion, be denied the benefits of, or be subjected to discrimination under any activity receiving these grant funds.

## **SECTION VII: PROGRAM SCOPE**

### **Program Description**

The Child and Family Services Agency (CFSA) investigates reports of child abuse and neglect, and provides child protection. Services include foster care, adoption, and supportive community-based services to enhance the safety, permanence, and well-being of abused, neglected, and at-risk children and their families in the District of Columbia. We seek to achieve the highest quality of community-based services, to increase the number of families who receive community-based preventive and support services, and to expand the network of resources providing services to at-risk children and their families.

As of June 30, 2013, CFSA was serving 1367 children in foster care and 1702 children in their homes. In an attempt to reduce the number of families becoming involved with CFSA, we envision the development of a network of prevention programs designed to support families who may be struggling with multiple risk factors associated with child abuse and neglect. Offering families immediate alternatives and easy access to services may, over time, contribute to a

reduction in the incidence of reports of child abuse and neglect, particularly among populations that traditionally have not been able to access services to meet their needs.

Parent education programs that help parents acquire and internalize the skills necessary to build a healthy family and promote protective factors contribute to positive outcomes for both parents and their children. Although some children, families, and communities face multiple risks, most also have assets and protective factors.<sup>2</sup> Through analysis of existing research, the Center for the Study of Social Policy (CSSP) identified four family factors that appear to reduce the incidence of child maltreatment: parental resilience, social connections, knowledge of parenting and child development, and concrete supports in times of need. CSSP also identified healthy social and emotional development as a protective factor for children. Research on parent education programs has primarily focused on at-risk and maltreating families. Key program characteristics identified as strong predictors of program effectiveness include a strength-based focus, family-centered or family-focused practice, individual and group approaches, qualified staff, targeted service groups, and clear program goals and continuous evaluation.<sup>3</sup>

CFSA's *Assessment of District Programs to Prevent Child Abuse and Neglect* (2006) highlighted a need for parent training or education and parent support. Stakeholders felt that the District lacks these programs in general but also lacks programs tailored to the needs of different families. Underserved groups include grandparent-guardians, fathers, teen parents, parents of children with emotional and behavioral problems, non-English speaking families, and parents of older children who are unruly and/or runaways. These findings have been reflected in more recent assessments of need including the Agency's bi-annual *Needs Assessment* report.

To date, a number of evidence-based have been explored, including programs already implemented in other jurisdictions to expand the range of resources available to parents, and to meet the specific needs of different populations. Programs include both out-of-home and home-based services, and range from direct skill training to parents in child behavior management and home safety to prevent child maltreatment, enhancing parent-child communication to reduce risk for adolescent substance abuse, building nurturing parenting skills and culturally-specific interventions.

CFSA proposes to use funds to create a Parent Education and Support Project (PESP). The PESP embraces the philosophy that successful parent education and support can assist parents and caretakers to develop and practice the skills they need to maintain safe and stable home environments for their children, and to reduce the risk of child maltreatment.

There are multiple target populations for the Parent Education and Support Project, including but not limited to:

- Parents with disabilities and/or parents of children with disabilities
- Teen Parents

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<sup>2</sup> Anderson Moore, K. Defining the Term "At Risk". *Research-to-Results Brief*. (October 2006). Child Trends. Available at: <http://www.childtrends.org/Files/DefiningAtRisk.pdf>

<sup>3</sup> FRIENDS: National Resource Center for CBCAP, Factsheet #16. *Parent Education*. (April 2008). Available at: [http://www.friendsnrc.org/download/parent\\_ed.pdf](http://www.friendsnrc.org/download/parent_ed.pdf)

- (Custodial and Non-Custodial) Fathers of children ages 0-5, school-age and high school
- Grandparent Caregivers
- Parents of children who are medically fragile and/or developmentally delayed
- Parents of children with behavioral concerns
- Parents of young children (ages 0-8 years)
- youth (9-14yrs; 14-17yrs; 17-21yrs)
- Parents for whom English is not the first language (e.g. Spanish-speaking families)
- Parents with a history of child abuse or neglect

Applicants may also propose services for a target population not listed above, provided the application demonstrates a need for intervention and the capacity to complete the process. Targeted services may be proposed as one component of the Applicant's overall program. Applicants shall demonstrate how the proposed model will be integrated with the organization's existing services.

The Agency will competitively award grants to organizations who meet the program needs of CFSA, and who demonstrate commitment to implementing the program measures as long as program funds continue to be available. CFSA will seek out innovative and evidence-based approaches, recognizing that the use of a variety of models will expand the population who can access services.

Some of the evidence-based programs which may be funded include, but are not limited to:

- Effective Black Parenting Program
- Los Niños Bien Educados Program
- SafeCare
- Staying Connected With Your Teen<sup>®</sup>
- Systematic Training for Effective Parenting
- The Incredible Years<sup>®</sup>
- Nurturing Parenting Programs<sup>®</sup>
- Strengthening Families Program.
- Chicago Parenting

We are interested in organizations that can provide services in a variety of ways (for example, community-based), that are targeted, and programs that differ in their intensity. Services must be accessible and provided at no cost to participants. Applicants must ensure consistency and confidentiality for families and demonstrate sensitivity to the special needs of those receiving services.

CFSA is interested in organizations that provide a mentoring/coaching component aimed at providing life skills and ongoing support for participants in the PESP. The applicant must indicate how the mentoring services will fit into the overall service plan for the participants. Mentoring should be one-one and have follow up that is no less than 12 months in duration and longer, if possible. Mentoring should provide support in decision-making, personal development, and guidance.

Applicants must demonstrate how programs will develop parent leadership and parent engagement. Applicants are required to participate and implement activities every year for Family Strengthening Month (April) as part of their grantee fulfillment.

Applicants must provide a fatherhood component and be explicit as to how they will develop and engage fathers in services, as well as sustain their involvement after programs cease. Preference Points will be awarded to applicants who are participating in grant-funded programs aligned with the PESP and have experience, involvement, and a clear understanding of the Protective Factors Survey and its importance, and are able to demonstrate this in their application.

Grants are capacity-building grants, and organizations are required to demonstrate how they will sustain the proposed programs beyond the period of CFSA funding. Grant funding awarded under this project may not be used to supplant existing programs. Applicants must demonstrate how grant funding supports the expansion or enhancement of existing services, or the development of new programs, and meets an identified need in the target population. If the proposed model is one component of a larger program, the Applicant shall clearly describe their overall program budget and demonstrate alternative funding sources.

For the purpose of this initiative, the families receiving services will be monitored to determine whether the services provided have an impact on the incidence of child maltreatment, and parent and child outcomes. Preference will be given to those approaches that demonstrate on-going support for families after initial services have ended. Our hope is that the parents and families who utilize these services will gain the skills and supports they need to maintain safe and stable environments for themselves and their children.

### **Program Objectives/Evaluation**

During the grant period, CFSA and the Grantees shall jointly engage in a programmatic evaluation to determine the impact of services under the Parent Education and Support Project. In addition, they will be expected to participate in cross-site evaluations which may include the use of a common pre and post survey collection of common data. Short-term outcomes include sustained engagement of parents in specialized parent education programs and development of ongoing program or peer support, post-intervention.

CFSA and the Grantees shall jointly identify indicators to measure desired long-term outcomes. Such outcomes may include:

1. Absence of child maltreatment/involvement with CFSA post-intervention.
2. Increase in child and parental protective factors during and post-intervention.
3. Decrease in risk factors for problem behaviors in high risk children.
4. Satisfaction of parents with the program.

5. Enhanced ability of parents to self-advocate and problem solve, including:
  - Increased communication and positive family interaction.
  - Expanded network of social support.
  - Increase in developmentally and age appropriate parenting skills.

Other: If the program's focus is teen pregnancy, then the absence of subsequent pregnancies throughout the intervention program.

**Applicants must propose and demonstrate evaluation follow-ups at 6, 12, and 18 months post-intervention.**

No more than 12% of grant funds may be utilized for program evaluation. Applicants must include a detailed logic model specifying short-term and long-term outcomes.

The Grantee shall participate fully in any CFSA-initiated or required program evaluations. In each subsequent year, an evaluation of all services provided under the grant agreement during the previous fiscal year shall be completed. Prior to the annual evaluation, CFSA and the Grantee shall jointly define the terms of the evaluation.

**Applicant Responsibilities/Scope of Work**

In its proposal, the Applicant must demonstrate the ability to provide services tailored to the target populations under the Parent Education and Support Project grant. In meeting those ends, the Applicant must meet, at a minimum, the following requirements:

1. The Applicant's program must be designed to meet the capacity requirements as outlined in the Program Scope.
2. Nothing in this RFA should conflict with any existing agreement between Applicant and the District of Columbia Child and Family Services Agency. Further, nothing in this RFA relieves Applicant of any agreement or obligations with CFSA.

The successful applicant must also:

1. Complete an orientation provided by CFSA, which includes:
  - background on the population of children served by CFSA;
  - elements of child abuse and neglect;
  - the laws surrounding confidentiality and privacy;
  - written materials summarizing the training.
2. Comply with the administrative, reporting and evaluation requirements of a grant agreement.
3. Abide by the confidentiality laws of the District, and not discuss the fact that the child is involved with the system with anyone other than the child's social worker or CFSA staff.

4. Report suspected child abuse and neglect to 202-671-SAFE (CFSA's Hotline).
5. Show and maintain proof of insurance that meets the requirements set forth herein.
6. Comply with 29 DCMR, Chapter 82.

The provisions of this Grant Agreement shall be governed and construed under the laws and regulations of the District of Columbia. The Grantee agrees to comply with the confidentiality statutes in the D. C. Official Code, as well as other applicable child protection laws including, but not limited to, mandated reporter statutes.

### **Staff Requirements**

The Applicant shall retain and maintain documentation that its staff, including volunteers, possesses adequate training and competence to perform the duties to which they have been assigned.

The Applicant shall maintain a complete written job description covering any positions funded in whole or in part through the grant, which must be included in the project files and be available for inspection on request. The job description shall include education, experience, and/or licensing/certification criteria, a description of duties and responsibilities, hours of work, salary range and/or stipend allowance, and performance evaluation criteria. If hiring staff for this grant project, the Applicant shall obtain written documentation of work experience and personal references.

The Applicant shall maintain an individual personnel file for any project staff member who will contain the application for employment, references, applicable credentials/certifications and documentation of all training received, notation of any allegations of professional or other misconduct, applicant's action with respect to the allegations and the date and reason if terminated from employment or the grant program. All of these personnel materials shall be made available to the Grant Monitor or her designee upon request.

The Applicant shall ensure that all employees, volunteers, consultants and sub-contractors have been cleared through the Child Protection Registry and the Police Department of the jurisdiction(s) in which the staff member or volunteer resided during the five years prior to employment under this grant, as well as cleared through the District of Columbia Metropolitan Police Department, and the jurisdiction in which they will be providing services.

### **Training**

The Applicant will be responsible for ensuring staff training and development are, at minimum, in compliance with licensure regulations and CFSA guidelines. The Applicant shall ensure training to program staff and volunteers on relevant child welfare topics, child abuse and neglect prevention, the provision of community-based services, child development, and on topics relevant to engaging non-custodial fathers, engaging parents, family-centered services, conflict resolution and parent-child interaction.

### **Performance Standards and Quality Assurance**

The Applicant shall monitor and evaluate all program activities. At a minimum, a semi-annual program evaluation shall include a review of the appropriateness, quality and timeliness of each service, as well as achievement of program objectives.

The Applicant shall be responsible for specific documentation of services provided to parents and families, and updates on both progress as well as any issues which may arise. The Applicant shall involve the participants in the development of project evaluations. When feasible, grant activities shall reflect concerns and suggestions offered by the program participants.

### **Monitoring**

CFSA shall monitor and evaluate the performance of the Applicant according to the scope of work and related service delivery standards set forth in the Grant Agreement. The Grant Monitor or her designee will make periodic scheduled meetings with the Applicant to discuss the scope of work in relation to the services rendered to the target populations, and the relative success thereof.

### **Disciplinary Action**

Grantees shall, upon discovery, discipline or terminate any staff found to be in violation of the District's drug and alcohol policy. In addition, Grantees shall document supervisory actions, conferences and personnel evaluations.

## **SECTION VIII: REVIEW AND SCORING OF APPLICATIONS**

### **Applicant Review Panel**

All grant applications will be reviewed by a panel. The review panel will be comprised of qualified professionals who have been selected for their expertise and knowledge of the child protection system, and the needs of the populations specific to this RFA. The review panel will review, score and rank each Applicant's proposal. Upon completion of its review, the panel shall make recommendations for awards based on the scoring process. The Director of CFSA or her designee shall make the final funding determination(s).

### **Scoring Criteria**

Applicant(s) proposal submissions will be objectively reviewed against the following specific scoring criteria.

Criterion A: Theoretical and Technical Soundness of the Proposal (Total 55 Points)

1. The proposal clearly lays out who the target population will be, how the target population will be identified and engaged in the program, how the grant monies will be spent, and the measures which will be put in place to monitor program objectives. **(15 Points)**
2. The proposed activities and work plan appear likely to result in the accomplishment of project objectives and proposed outcomes consistent with requirements presented in the

Program Scope. **(10 Points)**

3. The application clearly incorporates an evidence-based model or promising practice into the proposed approach under the Parent Education and Support Project, as well as the curriculum to be utilized, including the frequency and type of training/activities, as well as group/peer support that will be part of the approach to service delivery. **(15 Points)**
4. The proposed program evaluation includes the impact of services provided to the target population. **(5 points)**
5. The application clearly identifies the process of engaging parents to participate in the proposed program, including the oversight to monitor the effectiveness and appropriateness of how the program is structured, staffed and evaluated. **(10 Points)**

Criterion B: Organizational Capability and Relevant Experience (Total 35 Points)

1. The Applicant provides documentation that the proposed program will be fully supported by their organization's management and/or governing body, and demonstrates that the proposed Parent Education and Support Project is compatible with the mission of the organization. **(5 Points)**
2. The Applicant demonstrates the knowledge and experience relevant to the challenges facing parents and at-risk families, specialized interventions to engage parents, knowledge of protective factors that lead to positive outcomes for both parents and children and a reduction in the incidence of child abuse and neglect. Where appropriate, the Applicant demonstrates partnership with other community-based organizations that may have expertise in providing services to the target population. **(10 Points)**
  - The Applicant demonstrates competence in the provision of the services for which funding is requested and consistency with the values presented in the Program Scope.
  - The Applicant has relevant experience with the target population.
  - Past performance demonstrates current capacity to effectively develop and implement the proposed program.
  - The Applicant has experience administering a grant-funded program equivalent to the current request for applications.
  - The Applicant has demonstrated understanding of and experience in parent education or support.
3. Cultural competency and appropriateness of services proposed are demonstrated. **(10 points)**
  - The Applicant has identified and has demonstrated an understanding of issues affecting the target population.
  - At least two (2) letters of support from community groups are provided that detail the collaborative relationship with the Applicant, as well as the Applicant's ability to

provide services to the target population.

4. Capacity to administer the proposed program is demonstrated. **(10 points)**
  - The Applicant demonstrates the organizational capacity to deliver, monitor and administer the services.
  - The Applicant demonstrates the capacity to deliver services in the natural environment of the target population.
  - The Applicant demonstrates involvement, understanding and importance of the Protective Factors Survey.

**Criterion C: Sound Fiscal Management and Reasonable Budget (Total 10 Points)**

1. The Applicant provides evidence of sound fiscal management and financial stability and documents the availability of sufficient resources other than the grant funds to support the organization. **(5 Points)**
2. The Applicant demonstrates that the proposed budget is reasonable, realistic, and consistent with the limitations in this RFA and will achieve project objectives. The budget should also reflect the administrative costs, and the number of families expected to receive services as a result of this grant. **(5 points)**

**Decision on Awards**

The recommendations of the review panel are advisory only and are not binding on the Director of CFSA. The final decision on awards is vested solely with the Director of CFSA or his designee. After reviewing the recommendations of the review panel and any other information considered relevant, the Director of CFSA, or his designee, shall decide which Applicant(s) to award funds and the amount(s) to be funded. CFSA reserves the right to divide the grant award among multiple Applicants, in any amount. The award may reflect overall numbers, or be target population specific.

The Agency reserves the right to accept or deny any or all applications if the Agency determines it is in the best interest of the Agency to do so. CFSA shall notify the Applicant in writing if its proposal is not selected for grant award.

**Anticipated Announcement and Award Dates**

Notification of intent to award shall be sent directly from the Agency's Contracts and Procurement Administration only. Services shall begin no later than forty-five (45) days upon execution of a grant agreement.

ATTACHMENT A - APPLICANT PROFILE

**FY 2014 Parent Education and Support Project  
DCRL-2013-U-0114**

*Application is made for a grant under the above-mentioned Grant to the District of Columbia in the amount of and for the purpose stated herein. I certify that is application is true to the best of my knowledge, and if awarded the application will conform to the conditions set forth by the Agency of which this request is granted.*

**Applicant/Organization Name:**

**Type of Entity:** For-Profit \_\_\_\_\_ Non-Profit \_\_\_\_\_ Other \_\_\_\_\_

**Contact Person:**

Executive/Director/President

Date

**Office Address:**

**Phone/Fax:**

**Email address:**

**Website URL:**

**Total Number to Be Served By Project:** \_\_\_\_\_

**Total Funds Requested:**

**Program Description:**

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**Authorized Signature:** The person signing below, who is an executive officer, is authorized by the Applicant to submit this application and has the legal authority to bind the Applicant to the expressed and inferred agreements herein.

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**ATTACHMENT B – ORIGINAL RECEIPT**

Contracts and Procurement Administration  
Child and Family Services Agency  
200 I Street SE, 2<sup>nd</sup> Floor  
Washington, DC 20003

**FY 2014 Parent Education and Support Project  
DCRL-2013-U-0114**

CHILD AND FAMILY SERVICES AGENCY IS IN RECEIPT OF AN APPLICATION  
FROM:

(Contact Name/Please Print Clearly)  
(Organization Name)  
(Address, City, State, Zip Code)  
(Phone/Fax)  
(Email Address)  
(Program Area)  
(Amount Requested)

CFSA USE ONLY

(1) ORIGINAL APPLICATION and (3) COPIES.

RECEIVED ON THIS DATE 8/ /2013 Please Indicate Time:

Received by: \_\_\_\_\_

**APPLICATIONS RECEIVED AFTER 2:00 PM WILL NOT BE FORWARDED TO THE  
REVIEW PANEL**

## **ATTACHMENT C - CERTIFICATIONS**

### **Certifications Regarding Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with 28 CFR Part 67, "Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as material representations of fact.

#### **1. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT RECIPIENT)**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 28 CFR Part 67, for prospective participants in primary covered transactions, as defined at 28 CFR Part 67, Section 67.510-

##### **A. The applicant certifies that it and its principals:**

- (a) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public Federal, State, or local transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (b) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (I)(b) of this certification; and
- (c) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

#### **3. DRUG-FREE WORKPLACE REQUIREMENTS (GRANTEES OTHER THAN INDIVIDUALS)**

As required by the Drug Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F for grantees, as defined at 28 CFR Part 67, Sections 67.615 and 67.620;

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about ---

(1) The dangers of drug abuse in the workplace and

(2) The applicant's policy of maintaining a drug-free workplace.

(c) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(d) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title to: Lafayette Barnes, Office of Partnerships and Grants Development, One Judiciary Square, 441 4<sup>th</sup> Street, NW Suite 1130, Washington, DC 20001.

(e) Notice shall include the identification number(s) of each affected grant.

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted --

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(3) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (c), (d), (e), and (f).

#### 4. DRUG-FREE WORKPLACE REQUIREMENTS (GRANTEES WHO ARE INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, subpart F, for grantees as defined at 28 CFR Part 67; Sections 67.615 and 67.620-

- A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and
- B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to:

Tara Sigamoni  
Child and Family Services Agency  
Contracts and Procurement Administration  
200 I Street SE, 2<sup>nd</sup> Floor  
Washington, DC 20003

As the duly authorized representative of the applications,  
I hereby certify that the applicant will comply with the above certifications.

1. Grantee Name and Address

\_\_\_\_\_

2. Application Number and/or Project Name

\_\_\_\_\_

3. Typed Name and Title of Authorized Representative

\_\_\_\_\_

4. Signature

\_\_\_\_\_

5. Date

**ATTACHMENT D - STAFFING PLAN**

Director Signature: \_\_\_\_\_ Date:

**ATTACHMENT E - BUDGET**

**Applicants must also submit a Budget Narrative that explains in narrative form each proposed item in the attached budget, to the extent that such explanation or itemization is not already included in an attachment to a particular budget schedule. The Budget Narrative is an essential part of the budget submission.**

**Agency:**  
**Date of Submission:**

Project Manager:  
 Telephone #:  
 Email Address:

Category	Budgeted Amount	Justification (Narrative)
Personnel		
Fringe Benefits		
Travel/transportation		
Supplies/Minor Equipment		
Training		
Communications		
Childcare		
Occupancy Cost		
Other Direct Costs		
Indirect/overhead*		
<b>Total:</b>		

**\* Indirect costs shall not exceed 10% of the overall budget and shall include the following categories:**

1. **Administration:** general organizational administration not associated with carrying out the specific service. This typically includes the salary, fringe benefits and overhead associated with a central administrative office. It includes a variety of activities not identifiable with program functions, but which are indispensable to their conduct and to the organization's corporate existence.

2. **Financial Management:** the services of a qualified accountant and / or bookkeeper necessary to carry out the identified service. (Note: If financial management services are included in the “Administration” line, above, leave this line blank).
3. **Audit:** the services of a qualified auditing organization to determine that all-accounting principles were followed in managing the finances associated with delivering the identified service. (Note: If audit services are included in the “Administration” or “Financial Management” lines, above, leave this line blank).
4. **Other Indirect / Overhead:** any other costs required for delivering the identified service, which can neither be classified in one of the above three indirect/overhead categories, nor in an “Other Direct Cost” category on Schedule 10. Attach explanation and documentation.

## **ATTACHMENT F – ACKNOWLEDGEMENT**

### **Applicable District and Federal Statutes and Regulations Acknowledgement**

*The Grantee shall comply with all applicable District and Federal Statutes and regulations as may be amended from time to time including but not necessarily limited to:*

- The Americans with Disabilities Act of 1990, Pub. L. 101-336, July 26, 1990, 104 Stat. 327 (42 U.S.C. 12101 et seq.)
- Rehabilitation Act of 1973, Pub. L. 93-112, Sept. 26, 1973, 87 Stat. 355 (29 U.S.C. 701 et seq.)
- The Hatch Act, Chap. 314, 24 Stat. 440 (7 U.S.C. 361 a et. seq.)
- The Fair Labor Standards Act, Chap. 676, 52 Stat. 1060 (29 U.S.C.201 et seq.)
- The Occupational Safety and Health Act of 1970, Pub. L. 91-596, Dce. 29, 1970, 84 Stat. 1590 (26 U.S.C. 651 et.seq.)
- The Hobbs Act (Anti-Corruption), chap 537, 60 Stat. 420 (see 18 U.S.C.201)
- Equal Pay Act of 1963, Pub. L. 88-38, June 10, 1963, 77 Stat.56 (29 U.S.C.201)
- Age Discrimination Act of 1975, Pub. L. 94-135, Nov. 28, 1975, 89 Stat. 728 (42 U.S.C.6101 et.seq.)
- Military Selective Service Act of 1973
- Title IX of the Education Amendments of 1972, Pub. L. 92-318, June 23, 1972, 86 Stat.235, (20 U.S.C. 1001)
- Immigration Reform and Control Act of 1986, Pub. L. 99-603, Nov 6, 1986, 100 Stat. 3359, (8 U.S.C. 1101)
- Executive Order 12459 (Debarment, Suspension and Exclusion)
- Medical Leave Act of 1993, Pub. L. 103-3, Feb. 5, 1993, 107 Stat. 6 (5 U.S.C. 6381 et seq.)
- District of Columbia Language Access Act of 2004, DC Law 15-414
- Lobbying Disclosure Act of 1995, Pub. L. 104-65, Dec 19, 1995, 109 Stat. 693, (31 U.S.C. 1352)

**As the duly authorized representative of the applications, I hereby certify that the applicant will comply with the above Certifications, Licenses and Assurances**

\_\_\_\_\_  
**Authorized Representative Signature and Title**

\_\_\_\_\_  
**Date**